

COLLOQUIA MATHEMATICA SOCIETATIS JÁNOS BOLYAI
40. MATROID THEORY, SZEGED (HUNGARY) 1982

SUPERMODULAR COLOURINGS

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ABSTRACT. We investigate analogies between matroids and certain colourings, or partitions, derived from supermodular functions. We describe a greedy algorithm for minimum colourings, and discuss an intersection theorem.

1. Introduction

A collection C of subsets of a finite set S is called an *intersecting family* if C satisfies:

$$(1) \quad \text{if } T, U \in C \text{ and } T \cap U \neq \emptyset, \text{ then } T \cap U \in C \text{ and } T \cup U \in C.$$

A function $g: C \rightarrow \mathbb{R}$ is called *supermodular (on intersecting pairs)* if:

$$(2) \quad g(T \cap U) + g(T \cup U) \geq g(T) + g(U) \text{ for } T, U \in C \text{ with } T \cap U \neq \emptyset.$$

It is well-known from the results of Edmonds [1] that if $g: C \rightarrow \mathbb{Z}$ is a supermodular function on the intersecting family C satisfying

$$(3) \quad g(T) \leq |T| \text{ for all } T \text{ in } C,$$

then the collection

$$(4) \quad S_g := \{U \subseteq S \mid |T \cap U| \geq g(T) \text{ for all } T \text{ in } C\}$$

is the collection of spanning sets of a matroid on S . With the greedy algorithm one can find a set of minimum cardinality in S_g .

This algorithm also shows that

$$(5) \quad \min\{|U| \mid U \in S_g\} = \max\{g(T_1) + \dots + g(T_k) \mid T_1, \dots, T_k \text{ are pairwise disjoint sets in } C \text{ (} k \geq 0)\}.$$

Similarly, the greedy algorithm gives a minimum weighted spanning set, and a min-max relation for this minimum weight.

Moreover, if $g_1: C_1 \rightarrow \mathbb{Z}$ and $g_2: C_2 \rightarrow \mathbb{Z}$ are supermodular functions on the intersecting families C_1 and C_2 on S , both satisfying (3), then

$$(6) \quad \min\{|U| \mid U \in S_{g_1} \cap S_{g_2}\} = \max\{g_1(T_1) + \dots + g_1(T_k) + g_2(V_1) + \dots + g_2(V_\ell) \mid T_1, \dots, T_k \in C_1; V_1, \dots, V_\ell \in C_2; T_1, \dots, T_k, V_1, \dots, V_\ell \text{ pairwise disjoint}\}.$$

Instead of matroids, in this paper we discuss similar results for a "polar" type of combinatorial objects, in terms of colourings related to supermodular functions. In Section 2 we describe a greedy algorithm finding minimum colourings, and in Section 3 we discuss an intersection theorem for colourings. The latter theorem is used in [8] to prove the following result:

(7) *Let C be a crossing family of subsets of the finite set V (i.e., if $T, U \in C$ and $T \cap U \neq \emptyset$, $T \cup U \neq V$ then $T \cap U, T \cup U \in C$) with $\emptyset, V \notin C$; then the following are equivalent:*

(i) *for each directed graph $D=(V, A)$ the minimum size*

of a cut $\delta_A^-(T)$ ($:=$ the set of arcs in A entering T) for T in C , is equal to the maximum number k of pairwise disjoint subsets A_1, \dots, A_k of A such that each T in C is entered by at least one arc in each of the A_i ;

(ii) there are no V_1, V_2, V_3, V_4, V_5 in C such that $V_1 \subseteq V_2 \cap V_3$, $V_2 \cup V_3 = V$, $V_3 \cup V_4 \subseteq V_5$, $V_3 \cap V_4 = \emptyset$.

2. A greedy algorithm

Let $g: C \rightarrow \mathbb{Z}$ be a supermodular function on the intersecting family C on S , satisfying (3). Consider the collection

$$(8) \quad \Pi_g := \text{the collection of all collections } F = \{U_1, \dots, U_k\} \text{ of pairwise disjoint subsets of } S \text{ such that each set } T \text{ in } C \text{ intersects at least } g(T) \text{ of the } U_i.$$

From (3) it follows that Π_g is non-empty, as $\{\{s\} \mid s \in S\}$ belongs to Π_g . Clearly, if $F \in \Pi_g$, then

$$(9) \quad |F| \geq \max_{T \in C} g(T).$$

We show that the following greedy algorithm will find a collection F in Π_g achieving equality in (9), implying that it has minimum cardinality. In this greedy algorithm we assume that for any collection of pairwise disjoint subsets of S we can determine, in polynomial time, whether the collection belongs to Π_g . This is in line with a similar assumption for the greedy algorithm for matroids – see Remark 1 below.

Greedy algorithm for colourings. Order $S = \{s_1, \dots, s_n\}$

arbitrary. Apply the following m -th *iteration*, for $m=1, \dots, n$.

Suppose we have found pairwise disjoint non-empty subsets

U_1, \dots, U_k of $\{s_1, \dots, s_{m-1}\}$ such that $\{U_1, \dots, U_k, \{s_m\}, \dots, \{s_n\}\}$ belongs to Π_g . (If $m=0$ then $k=0$.)

(10) (i) If $\{U_1, \dots, U_k, \{s_{m+1}\}, \dots, \{s_n\}\}$ is in Π_g , do not reset;

(ii) Otherwise, if $\{U_1, \dots, U_{i-1}, U_i \cup \{s_m\}, U_{i+1}, \dots, U_k, \{s_{m+1}\}, \dots, \{s_n\}\}$ is in Π_g for a certain i , reset $U_i := U_i \cup \{s_m\}$;

(iii) Otherwise, let $U_{k+1} := \{s_m\}$ and reset $k:=k+1$.

At the end of the n -th iteration, let $F := \{U_1, \dots, U_k\}$. Then

clearly $F \in \Pi_g$. We show that this collection has equality in (9), and hence is of minimum cardinality.

We use the following notation: if X_1, \dots, X_n, X are sets, then

(11) $h_{X_1, \dots, X_t}(X) =$ the number of $i=1, \dots, t$ with $X_i \cap X \neq \emptyset$.

Then for each fixed X_1, \dots, X_t , the function h_{X_1, \dots, X_t} is a submodular function. Note that if f is a submodular and g is a supermodular function on the intersecting family \mathcal{C} , such that $f(T) \geq g(T)$ for all T in \mathcal{C} , then the collection of all sets T in \mathcal{C} with $f(T) = g(T)$ is an intersecting family again.

THEOREM 1. *The greedy algorithm described above finds a collection F in Π_g of minimum cardinality, with $|F| = \max_{T \in \mathcal{C}} g(T)$ (assuming this maximum is nonnegative).*

PROOF. Let the above algorithm give a collection $F=\{U_1, \dots, U_k\}$ in Π_g with $|F|=k$, and suppose that, in the m -th iteration, s_m was chosen as the first element of the k -th set U_k . So for $i=1, \dots, k-1$, the collection

$$(12) \quad \{U_1, \dots, U_{i-1}, U_i \cup \{s_m\}, U_{i+1}, \dots, U_{k-1}, \{s_{m+1}\}, \dots, \{s_n\}\}$$

does not belong to Π_g . Hence by definition of Π_g , for $i=1, \dots, k-1$, there exists a set T_i in \mathcal{C} such that

$$(13) \quad h_{U_1, \dots, U_{i-1}, U_i \cup \{s_m\}, U_{i+1}, \dots, U_{k-1}, \{s_{m+1}\}, \dots, \{s_n\}}(T_i) < g(T_i).$$

Since on the other hand for each i ,

$$(14) \quad h_{U_1, \dots, U_{k-1}, \{s_m\}, \{s_{m+1}\}, \dots, \{s_n\}}(T_i) \geq g(T_i),$$

one easily shows that $s_m \in T_i$, $U_i \cap T_i \neq \emptyset$, and that one has equality in (14). Since the left hand side in (14) is submodular, equality in (14) is closed under taking intersections and unions of the T_i , and hence

$$(15) \quad h_{U_1, \dots, U_{k-1}, \{s_m\}, \{s_{m+1}\}, \dots, \{s_n\}}(T_1 \cup \dots \cup T_{k-1}) = g(T_1 \cup \dots \cup T_{k-1}).$$

Since the left hand side of (15) is at least k , we know that

$g(T_1 \cup \dots \cup T_{k-1}) \geq k$, and hence $|F| \leq \max_{T \in \mathcal{C}} g(T)$. The converse inequality being trivial, we have proved the theorem. •

REMARK 1. In the greedy algorithm we assumed that any collection of pairwise disjoint subsets of S can be tested to be in Π_g . This is in line with the greedy algorithm for finding a minimum-sized spanning set in a matroid: there we need to be able to test whether a given subset is spanning or not. If the supermodular function is given by an oracle, and the spanning sets are as in (4), then there is a polynomial-time algorithm for testing a set to be spanning, based on the ellipsoid method, but as yet no direct "combinatorial" method has been found. Similarly, for any collection $F = \{U_1, \dots, U_k\}$ of pairwise disjoint subsets of S one can test in polynomial time whether F belongs to Π_g , by determining

$$(16) \quad \min_{T \in \mathcal{C}} (h_{U_1, \dots, U_k}(T) - g(T)),$$

which is the minimum of a submodular function, and can hence be determined in polynomial time with the ellipsoid method - see [4]. F belongs to Π_g if and only if the minimum (16) is nonnegative.

The above greedy algorithm in fact gives an optimal collection in Π_g also for a certain weighted problem. If $w: S \rightarrow \mathbb{R}$, we can find a collection F in Π_g which minimizes

$$(17) \quad \sum_{U \in \mathcal{F}} \max_{u \in U} w(u) \ .$$

To this end one should use the ordering s_1, \dots, s_n of the elements of S with $w(s_1) \geq \dots \geq w(s_n)$, analogous to the greedy algorithm for minimum weighted spanning sets in matroids.

3. An intersection theorem

A further analogy between spanning sets in matroids and supermodular colourings is provided by the following intersection theorem for supermodular functions.

THEOREM 2. *Let $g_1: C_1 \rightarrow \mathbb{Z}$ and $g_2: C_2 \rightarrow \mathbb{Z}$ be supermodular functions on the intersecting families C_1 and C_2 on the finite set S , such that $g_j(T) \leq |T|$ for $j=1,2$ and $T \in C_j$. Then the minimum size of a collection in $\Pi_{g_1} \cap \Pi_{g_2}$ is equal to $\max\{g_j(T) \mid j=1,2; T \in C_j\}$ (provided that this maximum is nonnegative).*

PROOF. Clearly, the maximum does not exceed the minimum. To prove the converse, we use the submodular function defined in (11).

Let $k := \max\{g_j(T) \mid j=1,2; T \in C_j\}$. The theorem being trivial if $k=0$, we may assume $k \geq 1$. Let U_1, \dots, U_k be pairwise disjoint subsets of S such that:

$$(18) \quad g_j(T) \leq h_{U_1, \dots, U_k}(T) + |T \setminus (U_1 \cup \dots \cup U_k)|$$

for $j=1,2$ and $T \in C_j$, and such that

$$(19) \quad |U_1 \cup \dots \cup U_k| \text{ is as large as possible.}$$

Such U_1, \dots, U_k exist, as $U_1 = \dots = U_k = \emptyset$ satisfies (18). We are finished when we have shown that $U_1 \cup \dots \cup U_k = S$, since then $\{U_1, \dots, U_k\} \in \Pi_{g_1} \cap \Pi_{g_2}$. Suppose to the contrary there is an s in $S \setminus (U_1 \cup \dots \cup U_k)$.

Then there will exist an i_1 such that if we replace U_{i_1} by $U_{i_1} \cup \{s\}$, then (18) is still satisfied for $j=1$. Otherwise, for all $i=1, \dots, k$, there would exist a set T_i in C_1 such that

$$(20) \quad g_1(T_i) > h_{U_1, \dots, U_{i-1} \cup s, U_{i+1}, \dots, U_k}(T_i) + |T_i \setminus (U_1 \cup \dots \cup U_k \cup s)|.$$

Combined with (18) for the original U_1, \dots, U_k , this implies that T_i contains s and $T_i \cap U_i \neq \emptyset$, and that (18) holds with equality for $j=1$ and $T=T_i$. Now the collection of sets T satisfying (18) with equality is an intersecting family (as the left hand side is supermodular and the right hand side is submodular). Hence the union $T_0 := T_1 \cup \dots \cup T_k$ satisfies (18) with equality. But then

$$(21) \quad g_1(T_0) = h_{U_1, \dots, U_k}(T_0) + |T_0 \setminus (U_1 \cup \dots \cup U_k)| \geq k+1$$

(as T_0 contains s and intersects all U_i). (21) contradicts the definition of k .

Similarly, there exists an i_2 such that if we replace U_{i_2} by $U_{i_2} \cup \{s\}$, then (18) is still satisfied for $j=2$.
 Now, $i_1 \neq i_2$, since otherwise we could replace U_{i_1} by $U_{i_1} \cup \{s\}$, without violating (18) for $j=1,2$, contradicting (19).

We may assume that $i_1 = 1$ and $i_2 = 2$. Now for $j=1,2$ and $T \in C_j$ one has:

$$(22) \quad g_j(T) \leq h_{U_3, \dots, U_k}(T) + |T \setminus (U_1 \cup \dots \cup U_k \cup s)| + 2 .$$

For $j=1$ this follows from the fact that we could augment U_1 with s :

$$(23) \quad \begin{aligned} g_1(T) &\leq h_{U_1 \cup s, U_2, \dots, U_k}(T) + |T \setminus (U_1 \cup s \cup U_2 \cup \dots \cup U_k)| = \\ &= h_{U_3, \dots, U_k}(T) + |T \setminus (U_1 \cup \dots \cup U_k \cup s)| + h_{U_1 \cup s, U_2}(T) \leq \\ &\leq h_{U_3, \dots, U_k}(T) + |T \setminus (U_1 \cup \dots \cup U_k \cup s)| + 2 . \end{aligned}$$

For $j=2$ (23) is shown similarly.

Let V_1, \dots, V_m be the minimal sets T in C_1 satisfying (22) for $j=1$ with equality (minimal with respect to inclusion). As the collection of sets T in C_1 satisfying (22) with equality (for $j=1$) is an intersecting family, the sets V_1, \dots, V_m are pairwise disjoint. Moreover, as equality in (22) implies equality throughout in (23), we know that $h_{U_1 \cup s, U_2}(V_i) = 2$, and hence that

$|V_i \cap (U_1 \cup U_2 \cup s)| \geq 2$ for $i=1, \dots, m$.

Similarly, let W_1, \dots, W_n be the minimal sets in C_2 which satisfy (22) with equality for $j=2$. Again, W_1, \dots, W_n are pairwise disjoint, and $|W_i \cap (U_1 \cup U_2 \cup s)| \geq 2$ for $i=1, \dots, n$.

Now $U_1 \cup U_2 \cup s$ can be split into classes U'_1 and U'_2 such that both U'_1 and U'_2 intersect each of the sets $V_1, \dots, V_m, W_1, \dots, W_n$. To see this, choose pairs $e_1, \dots, e_m, f_1, \dots, f_n$ as subsets of $U_1 \cup U_2 \cup s$ such that $e_1 \subseteq V_1, \dots, e_m \subseteq V_m, f_1 \subseteq W_1, \dots, f_n \subseteq W_n$. Since e_1, \dots, e_m are pairwise disjoint, and since f_1, \dots, f_n are pairwise disjoint, it follows that the edges $e_1, \dots, e_m, f_1, \dots, f_n$ make up a bipartite graph, with vertex set $U_1 \cup U_2 \cup s$. Then any two-colouring of this bipartite graph gives a splitting into classes U'_1 and U'_2 as required.

We finally show that replacing U_1 and U_2 by U'_1 and U'_2 does not violate (18) for $j=1, 2$, which however contradicts the maximality of $|U_1 \cup \dots \cup U_k|$.

So we have to prove:

$$(24) \quad g_j(T) \leq h_{U'_1, U'_2, U_3, \dots, U_k}(T) + |T \setminus (U'_1 \cup U'_2 \cup U_3 \cup \dots \cup U_k)|$$

for $j=1, 2$ and $T \in C_j$. First let $j=1$, and choose $T \in C_1$. If T includes one of the V_i as a subset, then T intersects both U'_1 and U'_2 (as V_i intersects both of these sets). In this case, by (22),

$$\begin{aligned}
(25) \quad g_1(T) &\leq h_{U_3, \dots, U_k}(T) + |T \setminus (U_1 \cup \dots \cup U_k \cup s)| + 2 = \\
&= h_{U_1', U_2', U_3, \dots, U_k}(T) + |T \setminus (U_1' \cup U_2' \cup U_3 \cup \dots \cup U_k)|.
\end{aligned}$$

If T includes none of the V_i , then the inequality (22) for $j=1$ is strict (by definition of V_1, \dots, V_m). So if T intersects $U_1' \cup U_2'$ then

$$\begin{aligned}
(26) \quad g_1(T) &\leq h_{U_3, \dots, U_k}(T) + |T \setminus (U_1 \cup \dots \cup U_k \cup s)| + 1 \leq \\
&\leq h_{U_1', U_2', U_3, \dots, U_k}(T) + |T \setminus (U_1' \cup U_2' \cup U_3 \cup \dots \cup U_k)|.
\end{aligned}$$

If T does not intersect $U_1' \cup U_2'$, then

$$\begin{aligned}
(27) \quad g_1(T) &\leq h_{U_1, \dots, U_k}(T) + |T \setminus (U_1 \cup \dots \cup U_k)| = \\
&= h_{U_1', U_2', U_3, \dots, U_k}(T) + |T \setminus (U_1' \cup U_2' \cup U_3 \cup \dots \cup U_k)|.
\end{aligned}$$

The inequality (24) for $j=2$ is shown similarly. •

The proof also shows that a collection in $\Pi_{g_1} \cap \Pi_{g_2}$ of minimum size can be found in polynomial time, by minimizing certain submodular functions, which can be done in polynomial time with the ellipsoid method (cf. [4]). We do not know a min-max relation or a polynomial algorithm for finding a minimum-weighted collection in $\Pi_{g_1} \cap \Pi_{g_2}$ (with respect to the weight function (17)).

REMARK 2. Theorem 2 can be formulated in terms of generalized polymatroids (cf. Frank [3]). If $g: \mathcal{C} \rightarrow \mathbb{R}$ is a supermodular function on the intersecting family of subsets of S , let the polyhedron P_g in \mathbb{R}_+^S be defined by:

$$(28) \quad P_g := \{x \in \mathbb{R}_+^S \mid x(T) \geq g(T) \text{ for } T \in \mathcal{C}\},$$

where $x(T) := \sum_{s \in T} x(s)$. It is known (cf. [1],[3]) that if g is integer-valued, the polyhedron P_g is integral (i.e., each vertex of P_g is integral). Now Theorem 2 implies the following. Let $g_1: \mathcal{C}_1 \rightarrow \mathbb{Z}$ and $g_2: \mathcal{C}_2 \rightarrow \mathbb{Z}$ be supermodular functions on the intersecting families \mathcal{C}_1 and \mathcal{C}_2 on S , and let $k := \{\max g_j(T) \mid j=1,2; T \in \mathcal{C}_j\}$. Then if b is an integral vector in $P_{g_1} \cap P_{g_2}$, there are nonnegative integral vectors b_1, \dots, b_k such that

$$(29) \quad \begin{array}{l} \text{(i)} \quad b = b_1 + \dots + b_k \quad ; \\ \text{(ii)} \quad \text{for } j=1,2 \text{ and } T \in \mathcal{C}_j : \sum_{i=1}^k \min\{b_i(T), 1\} \geq g_j(T) \end{array}$$

This follows from Theorem 2 by splitting each element s of S into $b(s)$ copies.

We conclude with mentioning some applications of Theorem 2.

APPLICATION 1. Let $G = (V, E)$ be a bipartite graph, with colour classes V_1 and V_2 , and let for $j=1,2$:

$$(30) \quad C_j := \{\delta(v) \mid v \in V_j\}$$

where $\delta(v)$ denotes the set of edges incident with vertex v . Clearly, C_1 and C_2 are intersecting families. If we define $g_j(\delta(v)) = |\delta(v)|$ for $j=1,2$ and $v \in V_j$, we obtain supermodular functions g_1 and g_2 on C_1 and C_2 , satisfying (3). Theorem 2 now gives König's edge-colouring theorem [6]: the edge-colouring number of G is equal to the maximum degree of G . If we define $g_j(\delta(v)) = k$ for $j=1,2$ and $v \in V_j$, where k is the minimum degree of G , Theorem 2 gives a result of Gupta [5]: the maximum number of pairwise disjoint edge sets in G , each covering all vertices, is equal to the minimum degree of G . If we define $g_j(\delta(v)) = \min\{k, |\delta(v)|\}$, for $j=1,2$ and $\delta(v) \in C_j$, where k is an arbitrary natural number, Theorem 2 gives a result of De Werra [9].

APPLICATION 2. We will indicate how to derive from Theorem 2 the following "disjoint bi-branching theorem" ([7]):

(31) *Let $D = (V, A)$ be a directed graph, and let V be split into classes V_1 and V_2 . Suppose that each $V' \subseteq V$ with $\emptyset \neq V' \subseteq V_1$ or $V_1 \subseteq V' \neq V$ is entered by at least k arcs of D . Then A can be split into classes A_1, \dots, A_k such that for each $i=1, \dots, k$ and for each $v \in V_1$ there is a directed path in A_i from V_2 to v , and for each $v \in V_2$ there is a directed path in A_i from v to V_1 .*

This result is one of the auxiliary theorems for the min-max relation proved in [7], which is the special case of (7) where $C \cup \{\emptyset, V\}$ is closed under taking any union and intersection.

To prove (31), Theorem 2 is combined with the following result of Edmonds [2], using the notation (11) and $d_A^-(V') :=$ the number of arcs in A entering V' :

(32) *if $D = (V, A)$ is a directed graph, and R_1, \dots, R_k are subsets of V such that*

$$d_A^-(V') + h_{R_1, \dots, R_k}(V') \geq k$$

for each nonempty subset V' of V , then A can be split into classes A_1, \dots, A_k such that for each $i=1, \dots, k$ and each $v \in V \setminus R_i$, there is a directed path in A_i starting in R_i and ending in v .

Taking $R_1 = \dots = R_k = \{r\}$ gives Edmonds' disjoint branching theorem.

(31) can be seen as a result on "glueing branchings together to obtain bi-branchings". Let

(33) $A^\circ := \{a \in A \mid a \text{ has tail in } V_2 \text{ and head in } V_1\},$
 $A' := \{a \in A \mid a \text{ has both tail and head in } V_1\};$
 $A'' := \{a \in A \mid a \text{ has both tail and head in } V_2\}.$

Let furthermore,

$$(34) \quad C_1 := \{\delta_{A^\circ}^-(V') \mid \emptyset \neq V' \subseteq V_1\} ,$$

$$C_2 := \{\delta_{A^\circ}^-(V') \mid V_1 \subseteq V' \neq V\}$$

Then C_1 and C_2 are intersecting families on A° . Define for $j=1,2$, $g_j: C_j \rightarrow \mathbb{Z}$ by

$$(35) \quad g_1(B) := \max\{k - d_{A'}^-(V') \mid \emptyset \neq V' \subseteq V_1, \delta_{A^\circ}^- = B\} \quad \text{for } B \in C_1 ,$$

$$g_2(B) := \max\{k - d_{A''}^-(V') \mid V_1 \subseteq V' \neq V, \delta_{A^\circ}^- = B\} \quad \text{for } B \in C_2 .$$

Then g_1 and g_2 are supermodular on intersecting pairs. Moreover, if V' attains the maximum in (35) then

$$(36) \quad g_1(B) = k - d_{A'}^-(V') \leq d_{A'}^-(V') - d_{A'}^-(V') = d_{A^\circ}^-(V') = |B| ,$$

$$g_2(B) = k - d_{A''}^-(V') \leq d_{A'}^-(V') - d_{A''}^-(V') = d_{A^\circ}^-(V') = |B|$$

Since $g_j(B) \leq k$ for $j=1,2$ and $B \in C_j$, we can split, by Theorem 2, A° into classes $A_1^\circ, \dots, A_k^\circ$ such that:

$$(37) \quad \text{if } \emptyset \neq V' \subseteq V_1, V' \text{ is entered by at least } k - d_{A'}^-(V')$$

$$\text{of the classes } A_1^\circ ,$$

$$\text{if } V_1 \subseteq V' \neq V, V' \text{ is entered by at least } k - d_{A''}^-(V')$$

$$\text{of the classes } A_1^\circ .$$

We leave it to the reader to combine this result with (32) to obtain (31).

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